



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,365	03/16/2001	Wayne V. Sorin	21501-721

CONFIRMATION NO. 2223

FORMALITIES LETTER



OC00000006103250

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

Date Mailed: 05/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$780.
 - \$540 for 30 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1620.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- Drawings must be reasonably free from erasures and must be free from ~~obfuscations, crossoutings, interlineations, folds, and copy marks~~

(obfuscations, crossoutings, interlineations, folds, and copy marks)
the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(v))

- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

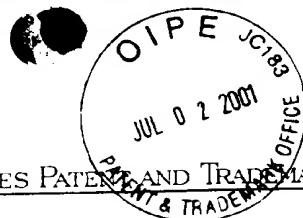
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAY 20 2001



WILSON SONSINI, UNITED STATES PATENT AND TRADEMARK OFFICE
GOODRICH & ROSATI

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY/DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/811,365	03/16/2001	2874	0.00	21501-721	47	50	6

CONFIRMATION NO. 2223

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FILING RECEIPT



OC00000006103249

DOCKETE

Date Mailed: 05/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wayne V. Sorin, [Redacted] Residence Not Provided

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/206,767 05/23/2000

THIS APPLN IS A CIP OF 09/811,365 WHICH IS A CIP OF 09/724,661 12/04/2000 WHICH IS A
Foreign Applications CIP OF 09/666,163 4/24/2000 WHICH IS A CIP OF AND
CLAIMS BENEFIT OF 60/206,767 05/23/2000 SAID 09/666,163 ALSO A CIP OF

If Required, Foreign Filing License Granted 05/21/2001 09/811,365 05/15/2001 WHICH IS A CIP
OF 09/424,044 4/23/1999 WHICH IS A CIP OF 09/222,413 2/02/98 WHICH
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus for measuring the power spectrum of optical signals

07-05-01

S E C R E T
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sorin
 Serial No.: 09/811,365
 Filed: 03/16/2001
 For: Methods and Apparatus for Measuring the Power Spectrum of Optical Signals



Group No.: 2874
 Examiner: Not assigned

Commissioner for Patents
 Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 05/22/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUBSTITUTE (FORMAL) DRAWINGS

IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84, which are also formal drawings.

PRELIMINARY AMENDMENT

V. Applicant submits herewith a preliminary amendment.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$710
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$540
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$120
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130
5. Assignment (See "ASSIGNMENT COVER SHEET")	\$40
6. Total completion fees	\$1,540

REQUEST FOR CORRECTED FILING RECEIPT

VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

1. Applicant name and/or addresses - Wayne V. Sorin, Mountain View, CA
 2. Priority Claim - This appln is a CIP of 09/801,566 03/07/2001
 which is a CIP of 09/765,971 01/19/2001
 which is a CIP 09/729,661 12/04/2000
 which is a CIP 09/666,763 09/21/2000
 which is a CIP of and claims benefit of 60/206,767 05/23/2000
 said 09/666,763 also being a CIP of 09/571,092 5/15/2000
 which is a CIP of 09/425,099 09/23/1999
 which is a CIP of 09/022,413 02/12/1998
 which claims priority to KR 97-24796 06/06/1997.

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

VII. The total fee due is: Completion fees \$1,540 Corrected Filing Receipt Fees \$25 **TOTAL FEE DUE \$1,565**

PAYMENT OF FEES

VIII. Charge Account No. 23-2415 (21501-721) in the amount of \$1,565.
 (This account will be debited for any fees that may be due by this paper)

Paul Davis, Reg. No. 29,294

Wilson Sonsini Goodrich & Rosati
 650 Page Mill Road
 Palo Alto, CA 94304
 (650) 493-9300
 Customer No. 02197

Certificate of Express Mailing

I hereby certify that this correspondence is being transmitted

via the U.S. Postal Service as Express Mail

to the Commissioner for Patents

on 11/2/2001

Sidney Wambushan



PATENT

Practitioner's Docket No. 21501-721

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sorin
Serial No.: 09/811,365
Filed: 03/16/2001
For: Methods and Apparatus for Measuring the Power Spectrum of Optical Signals

Group 2874
Examiner: Not assigned

Commissioner for Patents
Washington, D.C. 20231

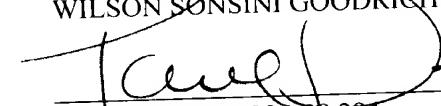
**TRANSMITTAL OF NEW (FORMAL) DRAWING(S) TO CORRECT INFORMALITIES
NOTED IN THE NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION**

SUBMISSION OF FORMAL DRAWING(S)

1. To correct the informalities in the drawings as noted in the Notice to File Missing Parts of Non-Provisional Application dated 05/22/2001, applicants submit herewith new drawing(s) for this application.
Number of sheets of drawings submitted: 42
2. The period of response set in the Notice expires on 07/22/2001. This submission is on or before this expiry date.

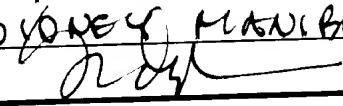
Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI


Paul Davis, Reg. No. 29,294

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date:			
7/2/2001		Express Mail Label	EL 113 754 511 US
Typed or printed name	SYDNEY MANIBUSA		
Signature		Date	7/2/2001

WARNING. "Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . ." 37 C.F.R. 1.6(d)(4).